



SMC Global Securities Limited
Account Opening Department

Date: ___/___/20___

Sub: Request for registration in new segments and Exchanges

Ref: Client Code _____ PAN _____

Dear Sir/Madam,

With reference to my aforementioned Client code, I/We hereby request you to register and enable me/us to trade in the selected Exchanges & segments. I have read and understood the Risk disclosure document (RDD), Guidance Note and Policies & Procedure along with Rules, Bye-laws and Regulations of the Derivative Segment of the Exchanges and agree to abide by them. I/We have read and understood the brokerage structure and agree to pay the same.

Segment	Signature (Please sign in the relevant box only)
NSE Equity Cash	(Signature of Applicant)
BSE Equity Cash	(Signature of Applicant)
MSEI Equity Cash	(Signature of Applicant)
NSE Equity Derivatives	(Signature of Applicant)
BSE Equity Derivatives	(Signature of Applicant)
MSEI Equity Derivatives	(Signature of Applicant)
NSE Currency Derivatives	(Signature of Applicant)
BSE Currency Derivatives	(Signature of Applicant)
MSEI Currency Derivatives	(Signature of Applicant)
NSE SLBM	(Signature of Applicant)
BSE SLBM	(Signature of Applicant)
MCX (Future & options)	(Signature of Applicant)
NCDEX (Future & options)	(Signature of Applicant)
ICEX (Future & options)	(Signature of Applicant)

Brokerage Structure (to be filled by SMC branch)

BROKERAGE STRUCTURE					
SEGMENT	%	Minimum	Options		
			%	Min per lot	Max per lot
Cash (Intraday)					
Cash (Delivery)					
Cash (Trade to Trade)					
F&O					
Currency F&O					
Commodity F&O					

- Note: 1. Rs. 25/- will be charged minimum per contract note.
 2. Securities Transaction Tax (STT) & Commodities Transaction Tax (CTT), GST, Other Charges, Stamp Duty and Other Statutory Charges will be levied separately as applicable from time to time.
 3. Transaction charges will be levied extra as per Company policy as applicable from time to time.
 4. The company reserves the right to review brokerage, transaction charges and other charges within limits set by SEBI, with sufficient prior notice.



Documents to be attached (Mandatory)

For Individual Clients

1. Income Proof (ANY ONE)

- Bank Statement/Passbook for last 6 months (last entry should not be older than 3 months)
- Demat A/c Holding Statement (with Value & duly Stamped by DP)
- Latest Income Tax Return (ITR)
- Latest Salary Slip/ Form-16
- Latest Net-worth Certificate by CA/CS

2. Self attested copy of Aadhaar Card (if not submitted earlier)

3. Central KYC form (if not submitted earlier)

For Non Individual clients (Corporate/HUF/Trust/Firm)

1. Income Proof (ANY ONE)

- Bank Statement for last 6 months (last entry should not be older than 3 months)
 - Demat A/c Holding Statement (with Value & duly Stamped by DP)
 - Latest Income Tax return (ITR)
 - Latest Net-worth Certificate by CA/CS
 - Latest Annual Accounts
2. Self attested copy of Aadhaar Card of Director / Authorized Signatory/ Karta / Trustees / Partners (if not submitted earlier)
3. FATCA Declaration (if not submitted earlier)
4. KYC form with documents (if not submitted earlier)
5. Please affix rubber stamp along with signature