ANNEXURE TO eKYC

(For Individuals)

DECLARATION

I/We declare that for opening the trading and/or Demat account, I/We have executed a digital KYC and Account Opening Form (eKYC) by electronically signing the same using the eSign services and my/our Aadhaar. My/our identity verification for the eKYC was done on the basis of my/our biometric with / OTP received from UIDAI. I/we further declare that my/our personal data with UIDAI was used for the purpose of eKYC and the eSign were generated and integrated with eKYC with my/our informed consent and with the intention that I/we shall remain at all time bound thereby. The eKYC and this Annexure constitute one integral document and it shall always be read together. I/we confirm that I/we have given consent to SMC Global Securities Limited to obtain my/our Aadhaar number and identity information and that I/we was informed that the same shall be used for eKYC and eSign and shall not be shared with anyone.

CLIENT SIGNATURE			
	First / Sole Holder	Second Holder	Third Holder
PAN			
Name			
Sign of Client	(1)	(1)	(1)

FOR OFFICE USE ONLY

✓ IN-PERSON VERIFICATION (IPV)

✓ DOCUMENTS VERIFIED WITH ORIGINALS

✓ CLIENT INTERVIEWED BY

SMC GLOBAL SECURITIES LTD.

Date: d d / m m / 2 0 y y

Employee/Sub-Broker/AP Details:

Name: _____

Code: _____

Designation: _____

Signature: _____

Client Code

Demat Account No.

Introducer Name		E. code	
RM Name		E. code	
Dealer Name		E. code	

NOMINATION FORM — FOR INDIVIDUAL ONLY

☐ I/We do not wish to make nomination.

☐ I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all securities held in the Depository by me / us in the said beneficiary owner account in the event of my / our death.

For Office Use
Nomination Regn. No. _____
Date _____

Nomination can be made upto three nominees in the account.		Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
1	**Name of the nominee(s) (Mr./Ms.)**	%	%	%
2	**Share of each Nominee** — Equally ☐ [If not equally, specify %]	*Any odd lot after division shall be transferred to the first nominee mentioned in the form.*		
3	**Relationship With the Applicant (If Any)**			
4	**Address of Nominee(s)** — PIN Code			
5	**Mobile/Telephone No. of nominee(s)**			
6	**Email ID of nominee(s)**			
7	**Nominee Identification details –** [Please tick any one of following and provide details of same] ☐ Photograph & Signature ☐ PAN ☐ Aadhaar ☐ Saving Bank account no. ☐ Proof of Identity ☐ Demat Account ID			
Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:				
8	**Date of Birth (if nominee is minor)**			
10	**Name of Guardian (Mr./Ms.) {in case of minor nominee(s) }**			
9	**Address of Guardian(s)** — PIN Code			
11	**Mobile/Telephone no. of Guardian**			
12	**Email ID of Guardian**			
13	**Relationship of Guardian with nominee**			
14	**Guardian Identification details –** [Please tick any one of following and provide details of same] ☐ Photograph & Signature ☐ PAN ☐ Aadhaar ☐ Saving Bank account no. ☐ Proof of Identity ☐ Demat Account ID			

CLIENT SIGNATURE			
	First / Sole Holder	Second Holder	Third Holder
Sign of Client	(2)	(2)	(2)
Date : D D M M 2 0 Y Y	Place :		

WITNESS FOR CLIENT	
Name	
Address	
Sign of Witness	

POWER OF ATTORNEY
(As per SEBI Guidelines vide circular no. CIR/MRD/DMS/13/2010 Dt. April 23, 2010)

IN FAVOUR OF SMC GLOBAL SECURITIES LIMITED

To all to whom these presents shall come, I/We the client described in the schedule hereto, having his/her/its address at the place mentioned in the schedule hereto (hereinafter referred to as "the Account Holder/Joint Account Holders/Client", which expression shall unless repugnant to the meaning and context thereof be deemed to mean and include his/her/its legal heirs, representatives, executors, successors and assigns, as the case may be) do hereby nominate, constitute appoint SMC Global Securities Limited, company duly incorporated under the Companies Act, 1956 as our attorney and having its registered office at 11/6B, Shanti Chamber, Pusa Road, New Delhi - 110 005., (hereinafter referred to as "SMC / the attorney" which expression shall, unless repugnant to be context or meaning hereof, mean and include its successors in title and permitted assigns).

A. WHEREAS, the Trading Account Holder (as mentioned in the schedule hereto), wishes to participate in the online/offline trading and/or other services being offered by SMC Global Securities Limited through its portal www.smcindiaonline.com or through any other channel such as IVR, WAP, Business Associates, Branch, Customer service, Call Center, etc. (or through any other portal as launched by SMC for offering the said services).

B. AND WHEREAS the Trading Account Holder has entered into Member Client Relationship with SMC in connection with availing various services provided/to be provided by SMC through its Portal www.smcindiaonline.com in and/or offline trading platform to the Account Holder through Trading Account No._____ (UCC)

C. AND WHEREAS the Demat Accounts mentioned in Annexure-I hereto (or such other demat accounts as may be determined by me/us from time to time and intimated to SMC) of which I/We am/are the sole/joint holders shall be linked to the above mentioned Trading account for the purpose of settlement and margin obligation arising out of trades executed through the said Trading Account.

D. AND whereas for efficient functioning of the above-mentioned services and to facilitate proper execution of all transactions, I/We am/are desirous of appointing SMC as my/our true and lawful Attorney and confer upon it the powers hereinafter stated.

I/We hereby authorize the Attorney to do, exercise & perform all or any of following acts, deeds and things :

1. Transfer securities held in my/our beneficial owner account(s) as mentioned in Annexure-1 (as may be modified from time to time by me/us and duly intimated to SMC) hereto towards Stock Exchange related margin/delivery obligations arising out of trades executed by me/us on the Stock Exchange through SMC.
2. To instruct the DP to debit securities to the said account mentioned in Annexure-1 (as may be modified from the said time by me/us and duly intimated to SMC) and / or transfer securities from the said account to any other account, to the extent of shares/securities sold through SMC for pay-in obligations as well as for margin towards any Stock Exchange, in Cash and Derivatives/other segments as may be permitted.
3. To credit my/our demat account(s) with any securities that are to be delivered to me/us/borrowed by me/us.
4. To demand, receive and give good and effectual receipt(s) and discharges(s) for all or any dividends, interest, bonuses or any other sum(s) and/or income arising from the shares, debentures, units and other investment and to sign and endorse pay orders, dividends/interest warrants or certificates, receive all debts, sums of money, principal, interest, dividend and belonging to whatever nature or accounts which are now or at any time due and payable and belonging to me/us on any account whatsoever.
5. Pursuant to my/our instructions or instruction of my/our Authorised Representative, to apply for acquiring subscribing, redeeming, switching between investment product including but not limited to Mutual Funds, Public Issues (shares as well as debentures), rights, offer of shares tendering in open offers and to sell, transfer and endorse the same or redeem the same or otherwise deal in the securities and/or any other investment products pursuant to my instruction or instruction of my/our Authorised Representative either through internet or otherwise using identification number issued by any Mutual Fund from time to time or otherwise, and/or to sign and to execute all transfer deeds whether as transferor or transferee and such other instruments, applications and papers as may be necessary for acquiring or transferring/redeeming the same, and/or for transferring the investment in the units of Mutual Funds from one scheme to another or between Mutual Funds and/or otherwise as may be required for dealing in securities or other investment products.
6. Pursuant to my/our instructions or instruction of my/our Authorised Representative, to make applications for or to renounce and sign renunciation forms in respect of bonds/debentures, right shares and additional shares of any Company/Body/Authority and to receive and hold such rights or additional shares bonds or debentures.
7. Pursuant to my/our instructions or instruction of my/our Authorised Representative, to issue orders and instructions for acquisition and disposal of investments for and on my/our behalf and to purchase or otherwise acquire, sell or otherwise dispose off or otherwise deal/invest in securities.
8. To sign the necessary form and other documents, if any, and to file and register the same with the company/concerned entities to give effect to the powers conferred herein.

(3) Signature of 1st / Sole Holder

(3) Signature of 2nd Holder

(3) Signature of 3rd Holder

9. To forward all applications for subscription of securities, placed through the website to the online-IPO/other module of the concerned Exchanges/authority/concerned entity/platform.
10. To do all such acts and things as may be necessary to give effect to the subscription/purchase /redemption or any other transaction pursuant to my/our instructions or instruction of my/our Authorised Representative in any securities and/or investment product for which services are availed from SMC.
11. Transfer funds from my/our bank account(s) mentioned in Annexure-1 (or such other bank accounts as may be determined by me/us from time to time and intimated to SMC) and/or to instruct the concerned banks in this regard for the following :
    a) For meeting my/our settlement obligations/margin requirements in connection with the trades executed by the me/us on any stock exchanges through SMC in any segment as may be permitted.
    b) For recovering any outstanding amount due from me/us arising out of my/our trading activities on any of the Stock Exchanges through SMC.
    c) For meeting obligations arising our of my/our subscribing to such other products/facilities/services like Mutual Funds, Public issues (shares as well as debentures), rights, offer of shares etc, through SMC.
    d) Towards monies/fees/charges, etc. due to SMC payable by virtue of me/us using/subscribing to any of the facilities/services availed by me/us or at my/our instance.
12. To send a consolidated summary of the Client's scrip wise buy and sell position taken with average rates to me/us by way of an SMS/email on a daily basis notwithstanding any other document to be disseminated as specified by SEBI from time to time.
13. To make, draw, sign, endorse, negotiate, deliver and/or acknowledge all instructions, forms, transfer deeds, depository forms and instruments, cheques, drafts, slips, receipts and other instruments and writing which are required necessary or advisable for performing all or any of the powers or authorities hereby conferred.
14. For the purpose of the aforesaid to sign contracts, agreements, transfers, acceptances, receipts or other instruments and forms, to accept and carry out correspondence with such person(s) or authority/authorities or department(s) and to do all such lawful acts requisite for effecting the same.
15. To comply and/or cause to be complied with all statutory and other requirement attached to or arising out of these premises and for this purpose to take such steps and actions necessary or proper, including signing of affidavits, indemnity, declarations, legal documents, deeds and writings wherever required.
16. To do all such acts, deeds and things, as may be necessary for or incidents to the powers conferred herein.

POWERS TO THE BANK ALLIANCE PARTNERS

Whereas for the purpose of Trading through SMC, I/We am/are availing banking and/or demat account services of Punjab National Bank a body corporate constituted under the Banking Companies (Acquisition & Transfer of undertaking) Act, 1970 having its head office at 7, Bhikhaji Cama Place, New Delhi-110007 (hereinafter referred to as Bank Alliance Partner) which expression shall, unless repugnant to the context or meaning hereof, mean and include its successors in title.

And Whereas I/We hold Bank account with the Bank Alliance Partner in its capacity of Bank and/or have applied to the Bank Alliance Partner for opening of bank account with it and I/We hold demat account with the Bank Alliance Partner in its capacity of Depository Participants and/or have applied to the Bank Alliance Partner to open a demat account with it.

Further to the above powers and authorities conferred on SMC, I/We hereby confer upon the Bank Alliance Partner the authorities hereinafter stated :

1. To operate, block and/or debit or credit, the abovementioned bank account for operation and execution of all transactions on my/our behalf.
2. To operate, block and/or debit to credit the above mentioned demat account with the Bank Alliance Partner for the purpose of Stock Exchange related margin/delivery obligations arising out of trades executed by me/us on the Stock Exchange through the Attorney and as instructed by the Attorney in this regard.
3. Pursuant to my instructions or instruction of my/our Authorised Representative, to buy, sell or cause to be bought and sold all kind of securities, stocks and shares including but not limited to government securities, bonds and mutual funds etc.
4. To do all such acts deeds, and things, as may be necessary for or incidental to , including but not limited to, providing services to me/us and for meeting my/our obligations under Portfolio Investment Schemes (PINS) and in connection with the said bank account.

For the purpose of this specific Power of Attorney, the term securities shall include securities as defined by the Securities Contracts (Regulation) Act, 1956 as amended from time to time and shall include shares, scrips, stocks, bonds, warrants, options, futures, derivatives, convertible debentures, non-convertible debentures, securitized debt instruments, fixed return investments, equity linked instruments or other marketable securities of a like nature in or of any incorporated Company or other body corporate, negotiable instruments including usuance Bills of Exchange, deposits or other money market instruments, commercial paper, certificate of deposit, units issued by Unit Trust of India and units issued by Mutual Funds, mortgage backed or other asset backed securities issued by any institution or body corporate cumulative convertible preference shares issued by any incorporated company and securities issued by any incorporated company and securities issued by the Central Government or a State Government for the purpose of raising

(4) Signature of 1st / Sole Holder

(4) Signature of 2nd Holder

(4) Signature of 3rd Holder

public loan and having one of the forms specified in Clause (2) of Section 2 of the Public Debt Act, 1944, any other new form of capital or money market instruments that may be issued in the future by any incorporated company; firm/institution or Government.

For the purpose of this Specific Power of Attorney the list of my/our Demat and Bank account to which/from which securities/funds may be moved are mentioned in Annexure-1 hereto. Annexure-1 may be changed by me/us at any time with prior intimation in writing to SMC by submission of a revised Annexure-1.

For the purpose of this specific power of Attorney the list of the Demat and Bank accounts of SMC from which/to which securities/funds may be moved are mentioned in Annexure-2 hereto. Annexure-2 may be changed by SMC at any time with intimation to me/us in writing or through website/through mail.

SMC shall return to me/us the securities or funds that may be received by it erroneously or those securities or funds that SMC was not entitled to receive from me/us.

AND GENERALLY to do all such other lawful acts and things as the Attorney shall think advisable for the purposes aforesaid as fully and effectually in all respects as I/We could do myself/ourselves and to act as fully and effectually for all intents and purposes in all dealings and transactions between myself/ourselves and the said SMC as I/We could if personally present and acting in the matters and transactions aforesaid.

AND I/We do hereby agree to confirm and ratify all and every act or things done by the Attorney within the scope of the authority hereby conferred on it and all deeds or documents executed by it in my/our name or on my/our behalf under this specific power of Attorney shall be binding on me/us as if the same were executed by me/us except any act or thing done by the Attorney that results in loss or claim on account of blocking of funds that may be erroneously instructed by the Attorney to the Bank. My/Our Attorney shall not be liable for any loss that my result from failure/inability of electronic connectivity of rejection of my/our application for any reason whatsoever.

The aforesaid Power of Attorney has been granted, the instructions herein have been given and the agreements herein contained have been agreed to by me/us in consideration of the said Agreement and the mutual rights, covenants and obligations there under, and are revocable by the me/us by giving an intimation to the Attorney in writing and the cessation of this authority shall not affect or impair any act thereto done in exercise of these presents. Such intimation shall be duly signed by me/us and should be received at the Head Office of the Attorney. The revocation shall be subject to due receipt, verification and processing of the same the Attorney.

This document shall be subject to the jurisdiction of the Courts in Delhi.

In witness whereof I/We have executed this Power of Attorney on the day, date and year herein below mentioned.

CLIENT NAME & SIGNATURE			
	First / Sole Holder	Second Holder	Third Holder
Name			
Sign of Client	(5)	(5)	(5)
Date :		Place :	

WITNESS FOR CLIENT (Mandatory)	
Name	
Address	
Sign of Witness	

ACCEPTED FOR & BEHALF OF SMC	
Name	
Sign of SMC Signatory	For **SMC GLOBAL SECURITIES LTD.** Authorised Signatory
Date :	Place :

WITNESS FOR SMC	
Name	
Address	
Sign of Witness	

☞ **Signatures of all major co-parceners are required.** **For HUF only (Mandatory)**

	Name of major co-parceners	Signature
1.		
2.		
3.		
4.		
5.		

Annexure-1

LIST OF DEMAT ACCOUNT OF THE CLIENTS

Sr.No.	Name of the Account Holder	DP ID	Client ID
1.			
2.			

LIST OF BANK ACCOUNT OF THE CLIENTS

Sr.No.	Name of the Account Holder	Bank Account No.
1.		
2.		

Annexure-2

LIST OF DEMAT ACCOUNT OF SMC

Sr.No.	Name of the Account Holder	DP ID / CMID	Client ID
1	SMC Global Securities Ltd. (CDSL BSE Pool)	12019101	00000412
2	SMC Global Securities Ltd. (CDSL NSE Pool)	12019101	00000372
3	SMC Global Securities Ltd. (NSDL NSE Pool)	IN564844	—
4	SMC Global Securities Ltd. (NSDL BSE Pool)	IN655267	—
5	SMC Global Securities Ltd. (CDSL MSEI Pool)	12019101	02068772
6	SMC Global Securities Ltd. (NSDL MSEI Pool)	IN471837	—
7	SMC Global Securities Ltd. (CDSL BSE early payin A/c)	11000010	00013363
8	SMC Global Securities Ltd. (CDSL NSE early payin A/c)	11000011	00014914
9	SMC Global Securities Ltd. (NSDL NSE MF Redemption)	IN565576	—
10	SMC Global Securities Ltd. (NSDL BSE MF Redemption)	IN620031	—
11	SMC Global Securities Ltd. (CDSL Client Collateral A/c)	12019101	04001572
12	SMC Global Securities Ltd. (NSDL Client Collateral A/c)	IN303655	10184345

LIST OF BANK ACCOUNT OF SMC

Sr.No.	Name of the Account Holder	DP ID	Bank Account No.
1.	SMC Global Securities Limited	Punjab National Bank	0117002101002186

The abovementioned list of Demat / Bank Accounts may be changed by SMC from time to time with intimation to the Clients in writing/through the website/via e-mail

(6) Signature of 1st / Sole Holder

(6) Signature of 2nd Holder

(6) Signature of 3rd Holder

smc

UMRN [1] Date [2] D D M M Y Y Y Y

(tick ✓)[7]
CREATE ✓
MODIFY X
CANCEL X

Sponsor Bank Code [3] Y E S B 0 0 0 0 0 0 1 Utility Code [4] Y E S B 0 0 1 7 1 0 0 0 0 5 5 0 4

I/We hereby authorize [5] SMC Global Securities Limited to debit (tick ✓)[6] SB / CA /CC / SB-NRE / SB-NRO / Other

Bank Account Number [8]

With Bank [9] IFSC [10] or MICR [11]

an amount of Rupees [12] (Name of Customers Bank) [13] ₹

[14] FREQUENCY X Mthly X Qtly X H-Yrly X Yrly ✓ as & when presented [15] DEBIT TYPE X Fixed Amount ✓ Maximum Amount

Reference-1 [16] Phone No [18]

Reference-2 [17] Email ID [19]

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

[20] PERIOD
From D D M M Y Y Y Y
To X X X X X X X X
✓ Until Cancelled

(7) Signature of the account holder — Name of the account holder

(7) ☒ Signature of the account holder — Name of the account holder

(7) ☒ Signature of the account holder — Name of the account holder

- This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the User entity/Corporate to debit my account, based on the instructions as agreed and signed by me.
- I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the User entity/corporate or the bank where I have authorized debit.

MANDATE INSTRUCTION (Refer Instruction over leaf before filling)

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smc

UMRN [1] Date [2] D D M M Y Y Y Y

(tick ✓)[7]
CREATE ✓
MODIFY X
CANCEL X

Sponsor Bank Code [3] Utility Code [4]

I/We hereby authorize [5] BSE Limited to debit (tick ✓)[6] SB / CA /CC / SB-NRE / SB-NRO / Other

Bank Account Number [8]

With Bank [9] IFSC [10] or MICR [11]

an amount of Rupees [12] (Name of Customers Bank) [13] ₹

[14] FREQUENCY X Mthly X Qtly X H-Yrly X Yrly ✓ as & when presented [15] DEBIT TYPE X Fixed Amount ✓ Maximum Amount

Reference-1 [16] Phone No [18]

Reference-2 [17] Email ID [19]

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

[20] PERIOD
From D D M M Y Y Y Y
To X X X X X X X X
✓ Until Cancelled

(8) Signature of the account holder — Name of the account holder

(8) ☒ Signature of the account holder — Name of the account holder

(8) ☒ Signature of the account holder — Name of the account holder

- This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the User entity/Corporate to debit my account, based on the instructions as agreed and signed by me.
- I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the User entity/corporate or the bank where I have authorized debit.

MANDATE INSTRUCTION (Refer Instruction over leaf before filling)

Instructions to fill Mandate:

1. UMRN-To be left blank
2. Date in DD/MM/YYYY format
3. Sponsor Bank IFSC code - YES80000001 - already printed
4. Utility Code: Unique code of the entity to whom mandate is being given - To be provided by the entity.
5. Name of the entity to whom the mandate is being given
6. Account type - SB /CA / CC / SB-NRE / SB-NRO / OTHER
7. Tick - Select your appropriate Action
   a. Create - For New Mandate
   b. Modify - For Changes/Amendment on existing mandate
   c. Cancel - For cancelling the existing registered Mandate
8. Your Bank Account Number for debiting the amount
9. Name of your bank and branch
10. Your Bank branch IFSC code OR
11. Your Bank branch MICR code
12. Amount in words
13. Amount in figures
14. Frequency at which the debit should happen
15. Whether the amount is fixed or variable
16. Reference-1 : Any details requested by the entity to whom mandate is being given
17. Reference - 2 : Any details requested by the entity to whom mandate is being given
18. Your phone number
19. Your email id
20. Period for which the debit mandate is valid
    a. Start date
    b. End Date
    c. Or until cancelled
21. Signatures of the account holder
22. Name of the account holder

Instructions to fill Mandate:

1. UMRN-To be left blank
2. Date in DD/MM/YYYY format
3. Sponsor Bank IFSC code - YES80000001 - already printed
4. Utility Code: Unique code of the entity to whom mandate is being given - To be provided by the entity.
5. Name of the entity to whom the mandate is being given
6. Account type - SB /CA / CC / SB-NRE / SB-NRO / OTHER
7. Tick - Select your appropriate Action
   a. Create - For New Mandate
   b. Modify - For Changes/Amendment on existing mandate
   c. Cancel - For cancelling the existing registered Mandate
8. Your Bank Account Number for debiting the amount
9. Name of your bank and branch
10. Your Bank branch IFSC code OR
11. Your Bank branch MICR code
12. Amount in words
13. Amount in figures
14. Frequency at which the debit should happen
15. Whether the amount is fixed or variable
16. Reference-1 : Any details requested by the entity to whom mandate is being given
17. Reference - 2 : Any details requested by the entity to whom mandate is being given
18. Your phone number
19. Your email id
20. Period for which the debit mandate is valid
    a. Start date
    b. End Date
    c. Or until cancelled
21. Signatures of the account holder
22. Name of the account holder