ANNEXURE TO eKYC

(For Individuals)

DECLARATION

I/We declare that for opening the trading and/or Demat account, I/We have executed a digital KYC and Account Opening Form (eKYC) by electronically signing the same using the eSign services and my/our Aadhaar. My/our identity verification for the eKYC was done on the basis of my/our biometric with / OTP received from UIDAI. I/we further declare that my/our personal data with UIDAI was used for the purpose of eKYC and the eSign were generated and integrated with eKYC with my/our informed consent and with the intention that I/we shall remain at all time bound thereby. The eKYC and this Annexure constitute one integral document and it shall always be read together. I/we confirm that I/we have given consent to SMC Global Securities Limited to obtain my/our Aadhaar number and identity information and that I/we was informed that the same shall be used for eKYC and eSign and shall not be shared with anyone.

CLIENT SIGNATURE			
	First / Sole Holder	Second Holder	Third Holder
PAN			
Name			
Sign of Client	(1) ✍	(1) ⊠	(1) ⊗

FOR OFFICE USE ONLY

✓ **IN-PERSON VERIFICATION (IPV)**

✓ **DOCUMENTS VERIFIED WITH ORIGINALS**

✓ **CLIENT INTERVIEWED BY**

SMC GLOBAL SECURITIES LTD.

Date: d d / m m / 2 0 y y

Employee/Sub-Broker/AP Details:

Name: _____

Code: _____

Designation: _____

Signature: _____

Client Code ☐☐☐☐☐☐☐☐☐☐☐☐☐

Demat Account No. ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Introducer Name		E. code	
RM Name		E. code	
Dealer Name		E. code	

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IN FAVOUR OF SMC GLOBAL SECURITIES LIMITED

This Power Of Attorney is made at _____ this the _____ day of _____ b y

_____

TO ALL TO WHOM THESE PRESENTS SHALL COME, I/We (hereinafter referred to as "the Client" which expression shall, unless it be repugnant to the meaning or context thereof, be deemed to mean and include, where the Client is an individual or a proprietorship firm, his/ her heirs, executors and administrators, where the Client is a partnership firm, the partners or partner for the time being of the said firm, the survivors or survivor of them and the heirs, executors and administrators of the last surviving partner, their or his assigns, where the Client is the Karta of a Hindu Undivided Family, the member or members for the time being of the said Hindu Undivided Family, and their respective heirs, executors, administrators and assigns, where the Client is a company, its successors in title and permitted assigns, where the Client is the Board of Trustees of a Trust, its successors and where the Client is the Governing Body of a Society, the respective successors of the members of the Governing Body and any new members elected, appointed or co opted) , DO SEND GREETINGS:

(please give residence address for individuals, office address for proprietorship and partnership and HUF and registered office for companies, trusts and societies).

WHEREAS

A.   SMC Global Securities Limited (hereinafter referred to as "SMC"), a company incorporated under the Companies Act, 1956 and having Registered Office at 11/6B, Shanti Chambers, Pusa Road, New Delhi- 110005 is registered as a Trading and Clearing Member with BSE, MSEI and NSE (hereinafter referred to as Exchanges)

B.   The Client is desirous of investing in securities (as defined under Securities Contracts (Regulations) Act, 1956) and other financial product and has entered/is in the process of entering into a Member Client agreement with SMC for the purpose of participating in the brokerage facilities and/or other services including but not limited to trading through phone/mobile, being offered by SMC, through its website www.smctradeonline.com (or through any other website as launched by SMC for offering the said services)

C.   The Client is also desirous of using his/her bank account and/or Demat account with respect to the purposes stated hereinabove.

D.   For the purpose of availing the above mentioned services as well as a prerequisite for fulfilling all the various pre conditions and requirements so as to deal in securities through SMC, the Client is desirous of appointing SMC by self or through their directors and / or their duly authorized officers as its constituted attorney(s), for carrying out acts, matters and things as required for the purpose of meeting the settlement obligations and margin requirements in connection with the trades executed by Client and obligation on the Stock Exchanges through SMC

NOW KNOW YOU ALL MEN AND THESE PRESENTS WITNESSETH that I/we the Client do hereby nominate, constitute and appoint SMC, as my /our Attorney, (hereinafter referred to as "the Attorney") acting through any of its directors and / or its duly authorised officers to be my / our true and lawful Attorney for and on behalf of, to do all or any of the acts, matters and things and to exercise all or any of the powers and authorities hereby specifically conferred upon SMC

Under these Presents SMC is empowered to do as under:

1.   To transfer and or treat securities held in the beneficial owner account of the Client as specified in the schedule I to this POA, towards Exchange related delivery obligations arising out of trades executed by the Client/on behalf of the Client on the Exchange through SMC.

2.   To invest and or subscribe in Mutual Funds and to redeem the same pursuant to the Instructions of the Client.

3.   To transfer securities to the Demat account of the client from the demat account of SMC as specified in the Schedule I and II to this POA.

4.   To transfer funds from the Bank Account of SMC to the Bank account of the client as specified in the Schedule I and II to this POA

5.   To return to the Client the Securities that may have been received erroneously

6.   To return to the Client the Funds as and when a re-transfer request is initiated by the client

7.   To send to the Client consolidated summary of Client's scrip wise buy and sell positions taken with average rates by way of SMS/email on a daily basis

8.   To make a request to clients' DP (IOB) to copy/upload/display his/her share holding in the Demat Account specified in Schedule I to SMC's Trading Portal on a daily basis for the purpose of the client carrying out transactions smoothly with SMC.

Under these Presents the client is obliged to do as under:

1.   Shall not attempt to transfer the shares by any mode other than sale through Stock Exchange whereby causing any alteration in the shares copied/uploaded/displayed by its DP during the trading time and till the time securities obligation file received from SMC is executed by DP. However, this does not include any off-market transfers to be effected after execution of securities obligation file received from SMC

2.   Wherever the client intends to avail exposure limits for the shares held by him/her from SMC, he/she will abide by the requirements

(3) ✍
Signature of 1st / Sole Holder

(3) ☒
Signature of 2nd Holder

(3) ⊗
Signature of 3rd Holder

specified by SMC including transfer of shares to SMC's Pool Demat Accounts specified in Schedule II.

3.   Wherever the client has availed exposure limits offered by SMC, the client shall ensure that sufficient margin requirements specified by SMC/Clearing Corporation/Stock Exchange are met well before the time specified and in case of failure by client, hereby authorize SMC to square-off the open position or adjust the margin at any time from the credit balance available in the Trading portal or by sale of shares transferred to SMC's Pool Account specified in Schedule II. However, this PoA does not empower SMC to seek transfer of funds from the Bank account or shares from Demat account held by the client with the Bank directly for meeting any such requirements

4.   In case of loss/regulatory charges due to Auction, Margin shortfall and square-off by SMC due to non-payment, it must be borne by client. SMC will not be responsible for the same.

5.   Wherever more than one demat account/bank account is tagged to one trading account, client shall co-ordinate with SMC for each transaction for crediting the proceeds to the desired bank account and debiting/crediting shares to the desired demat account.

AND I / WE DO HEREBY CONFIRM AND DECLARE THAT this Power of Attorney shall be valid, effective and operative till the termination of the Member Client Agreement or any agreement(s) that I /we have entered into with SMC and satisfaction in full of all the obligations under the same. The powers and authorities vested in Attorney by this Power of Attorney can be revoked, even prior to the termination of Member Client Agreement, by written revocation request / instruction to the Attorney subject to the fulfillment of all my/our obligations arising under the Member Client agreement. The cessation of this authority shall not affect or impair any act done in exercise of these presents.

AND The Client and the Attorney can amend Schedule I and II of these present by mutual consent in writing. The Client can amend Schedule I by prior written intimation to the Attorney Similarly the Attorney can amend Schedule II by prior written intimation to the Client or amending the same on its website www.smctradeonline.com available to the client through his/her/it secured login.

AND it is hereby clarified and declared that the Attorney being a body corporate the powers hereinbefore granted, may be exercised by any of its agents or employee to whom the Attorney may delegate any of the powers aforesaid and accordingly the Attorney may appoint and remove any sub–agent or attorney from time to time as it may consider appropriate.

In witness whereof I/We have executed this Power of Attorney on the day, date and year herein below mentioned.

CLIENT NAME & SIGNATURE				ACCEPTED FOR & BEHALF OF SMC	
	First / Sole Holder	Second Holder	Third Holder	Name	
Name				Sign of SMC Signatory	For **SMC GLOBAL SECURITIES LTD.** Authorised Signatory
Sign of Client	(4) ✍	(4) ⊠	(4) ⊠		
Date :		Place :		Date :	Place :
WITNESS FOR CLIENT (Mandatory)				WITNESS FOR SMC	
Name				Name	
Address				Address	
Sign of Witness			⊙-⊙	Sign of Witness	

☞ **Signatures of all major co-parceners are required.**	For HUF only (Mandatory)
Name of major co-parceners	**Signature**
1.	
2.	
3.	
4.	
5.	

(4) ✍
Signature of 1st / Sole Holder

(4) ⊠
Signature of 2nd Holder

(4) ⊠
Signature of 3rd Holder

smc

LIST OF DEMAT ACCOUNT OF THE CLIENTS

Sr.No.	Name of the Account Holder	DP ID	Client ID
1.			
2.			

LIST OF BANK ACCOUNT OF THE CLIENTS

Sr.No.	Name of the Account Holder	Bank Account No.
1.		
2.		

Schedule II

LIST OF DEMAT ACCOUNT OF SMC

Sr.No.	Name of the Account Holder	DP ID / CMID	Client ID
01	SMC Global Securities Ltd. (CDSL BSE Pool)	12019101	00000412
02	SMC Global Securities Ltd. (CDSL NSE Pool)	12019101	00000372
03	SMC Global Securities Ltd. (NSDL NSE Pool)	IN564844	—
04	SMC Global Securities Ltd. (NSDL BSE Pool)	IN655267	—
05	SMC Global Securities Ltd. (CDSL MSEI Pool)	12019101	02068772
06	SMC Global Securities Ltd. (NSDL MSEI Pool)	IN471837	—
07	SMC Global Securities Ltd. (CDSL BSE early payin A/c)	11000010	00013363
08	SMC Global Securities Ltd. (CDSL NSE early payin)	11000011	00014914
09	SMC Global Securities Ltd. (NSDL NSE MF Redemption)	IN565576	—
10	SMC Global Securities Ltd. (NSDL BSE MF Redemption)	IN620031	—
11	SMC Global Securities Ltd. (CDSLCM Client Securities Margin Pledge)	12019101	04319878
12	SMC Global Securities Ltd. (NSDL CM Client Securities Margin Pledge)	IN303655	10227156
13	SMC Global Securities Ltd. (CDSL Client securities Margin Funding)	1201910	104319903
14	SMC Global Securities Ltd. NSDL (Client Securities Margin Funding)	IN303655	10229625

LIST OF BANK ACCOUNT OF SMC

Sr.No.	Name of the Account Holder	Bank	Bank Account No.
1.	SMC Global Securities Limited	Indian Overseas Bank	003502000015472

The abovementioned list of Demat / Bank Accounts may be changed by SMC from time to time with intimation to the Clients in writing/through the website/via e-mail

(5) ✍	(5) ⊠	(5) ⬨
Signature of 1st / Sole Holder	Signature of 2nd Holder	Signature of 3rd Holder

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SMC

UMRN [1] [ ] Date [2] [D][D][M][M][Y][Y][Y][Y]

(tick ✓)[7]

Sponsor Bank Code [3] [Y][E][S][B][0][0][0][0][0][0][1] Utility Code [4] [Y][E][S][B][0][0][1][7][1][0][0][0][0][0][0][5][5][0][4]

CREATE	✓
MODIFY	X
CANCEL	X

I/We hereby authorize [5] SMC Global Securities Limited to debit (tick ✓)[6] SB / CA /CC / SB-NRE / SB-NRO / Other

Bank Account Number [8] [ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ]

With Bank [9] _____ IFSC [10] [ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ] or MICR [11] [ ][ ][ ][ ][ ][ ][ ][ ][ ]
(Name of Customers Bank)

an amount of Rupees [12] _____ [13] ₹ [ ]

[14] FREQUENCY [X] Mthly [X] Qtly [X] H-Yrly [X] Yrly [✓] as & when presented [15] DEBIT TYPE [X] Fixed Amount [✓] Maximum Amount

Reference-1 [16] [ ] Phone No [18] [ ]

Reference-2 [17] [ ] Email ID [19] [ ]

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

[20] PERIOD

From [D][D][M][M][Y][Y][Y][Y]
To [X][X][X][X][X][X][X][X]
[✓] Until Cancelled

(6) ✍ Signature of the account holder (6) ☒ Signature of the account holder (6) ☒ Signature of the account holder

Name of the account holder Name of the account holder Name of the account holder

- This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the User entity/Corporate to debit my account, based on the instructions as agreed and signed by me.
- I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the User entity/corporate or the bank where I have authorized debit.

MANDATE INSTRUCTION (Refer Instruction over leaf before filling)

SMC

UMRN [1] [ ] Date [2] [D][D][M][M][Y][Y][Y][Y]

(tick ✓)[7]

Sponsor Bank Code [3] [ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ] Utility Code [4] [ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ]

CREATE	✓
MODIFY	X
CANCEL	X

I/We hereby authorize [5] BSE Limited to debit (tick ✓)[6] SB / CA /CC / SB-NRE / SB-NRO / Other

Bank Account Number [8] [ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ]

With Bank [9] _____ IFSC [10] [ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ] or MICR [11] [ ][ ][ ][ ][ ][ ][ ][ ][ ]
(Name of Customers Bank)

an amount of Rupees [12] _____ [13] ₹ [ ]

[14] FREQUENCY [X] Mthly [X] Qtly [X] H-Yrly [X] Yrly [✓] as & when presented [15] DEBIT TYPE [X] Fixed Amount [✓] Maximum Amount

Reference-1 [16] [ ] Phone No [18] [ ]

Reference-2 [17] [ ] Email ID [19] [ ]

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

[20] PERIOD

From [D][D][M][M][Y][Y][Y][Y]
To [X][X][X][X][X][X][X][X]
[✓] Until Cancelled

(7) ✍ Signature of the account holder (7) ☒ Signature of the account holder (7) ☒ Signature of the account holder

Name of the account holder Name of the account holder Name of the account holder

- This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the User entity/Corporate to debit my account, based on the instructions as agreed and signed by me.
- I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the User entity/corporate or the bank where I have authorized debit.

MANDATE INSTRUCTION (Refer Instruction over leaf before filling)

────────────────────────────── Instructions to fill Mandate: ──────────────────────────────

1. UMRN- To be left blank
2. Date in DD/MM/YYYY format
3. Sponsor Bank IFSC code - YES80000001 - already printed
4. Utility Code: Unique code of the entity to whom mandate is being given - To be provided by the entity.
5. Name of the entity to whom the mandate is being given
6. Account type - SB /CA / CC / SB-NRE / SB-NRO / OTHER
7. Tick - Select your appropriate Action
    a. Create - For New Mandate
    b. Modify - For Changes/Amendment on existing mandate
    c. Cancel - For cancelling the existing registered Mandate
8. Your Bank Account Number for debiting the amount
9. Name of your bank and branch
10. Your Bank branch IFSC code OR
11. Your Bank branch MICR code

12. Amount in words
13. Amount in figures
14. Frequency at which the debit should happen
15. Whether the amount is fixed or variable
16. Reference-1 : Any details requested by the entity to whom mandate is being given
17. Reference - 2 : Any details requested by the entity to whom mandate is being given
18. Your phone number
19. Your email id
20. Period for which the debit mandate is valid
    a. Start date
    b. End Date
    c. Or until cancelled
21. Signatures of the account holder
22. Name of the account holder

────────────────────────────── Instructions to fill Mandate: ──────────────────────────────